



OSBORNE CAPITAL MARKETS LIMITED

RC 1458060

(TRADING LICENSE HOLDER OF THE NIGERIAN EXCHANGE LIMITED)

2A Idanre Close, Osborne Foreshore Estate, Ikoyi, Lagos.

Tel: 0201- 2914775, Email: info@ocmlng.com. www.ocmlng.com

JOINT ACCOUNT OPENING FORM

**AFFIX
CURRENT
PASSPORT
PICTURE**

Section A: Personal Details

Name (Surname First):		CHN (If Any):
Residential/Permanent Address:		Date of Birth:
Office Address:		
Place/Country of Birth:	Marital Status:	<input type="checkbox"/> Married <input type="checkbox"/> Single <input type="checkbox"/> Others
Email Address:	Gender:	<input type="checkbox"/> Male <input type="checkbox"/> Female
Wedding Anniversary:	Phone Number:	Mother Maiden Name:
Nationality:	State of Origin (Nigerians Only):	LGA:

Kindly provide a copy of a recent utility bill in your name confirming the address above
(This could be Electricity, Waste, Water Bill or Tenancy Agreement)

Section B: Bank Account Details

Bank Name:		Bank Branch:
Account Name:		Account Number:
BVN:	NIN:	Date Account was Opened:

Section C: Means of Identification (Attach a Copy)

ID Type (Tick One):	<input type="checkbox"/> International Passport:	<input type="checkbox"/> Driver's License:	<input type="checkbox"/> National ID Card	<input type="checkbox"/> Voters Card
ID Number:	Place of Issue:	Issue Date:	Expiry Date:	

Section D: Next of Kin Details

Name:	Relationship:
Email:	Phone Number:

Section E: For Minors

(Parents/Guardian should attach a copy of their valid means of Identification, Utility Bill and Passport picture)

Name: _____ Date of Birth: _____ Gender: Male Female:

Section F: Questionnaire

1. Have you ever held any political; appointment: Yes No
If yes please state the political office and period which you held the office below
2. Has any of your close relatives / associates occupied any political position: Yes No
If yes please state the name of the person, the office and date occupied, and your relationship with the person
3. Source Of Investment Fund: Employment Business Others
(Specify Your source of Income)
.....
4. Investment Risk Appetite: High Medium Low
5. Purpose of Investment:
6. Type of Employment: Business Public Sector Private Sector Military/Paramilitary
7. Employment Status: Full-Time Part-Time Retiree
8. Average Annual Income (Naira): 1- 5 Million 5 – 10 Million Above 10 million

Section G: Declaration

- 1) That, We,..... ..hereby authorize OCML to open an Investment Account in our name and for us.
- 2) That OCML is hereby requested and authorized to act on our instructions with regard to any transactions on the Investment Account.

- 3) That all transactions between OCML and us shall be subject to the articles, rules and regulations of the Nigerian Exchange Limited (NGX), the Securities & Exchange Commission (SEC), the Investments and Securities Act (ISA) of 1999, and all further regulations/directives passed and as amended from time to time to regulate trades between the parties and to regulate broker/dealer activities.
- 4) That our mandates shall be sent through written instruction(s) to OCML, and/or via a secured e-mail to info@ocming.com
- 5) That all communication and written instructions to OCML shall be via a secured e-mail to info@ocming.com
- 6) That our mandates will only be executed if delivered to OCML through any of the aforementioned channels by/or before 8:00am on the intended business day of execution.
- 7) That we can only make amendments/cancellations of mandates in writing delivered to OCML via a secured e-mail to info@ocming.com. This is also subject to the deadline stated in the above clause.
- 8) That in the event that we submit mandates/amendments/cancellations after the deadline stated above, they may not be executed until the next business day.
- 9) That OCML executes mandates on a best-effort basis only. Thus, OCML does not guarantee that our mandate(s) will be executed on a particular day even where such mandate indicates that the relevant transaction is to be effected at "market price" or on a particular day.
- 10) That our buy and sell mandates will only be executed based on the prevailing market prices of stocks on the Nigerian Exchange Limited at the time of execution and not a price range.
- 11) That our purchase mandates shall not be executed if sufficient funds are not available in our stockbroking account to meet the value of such transaction(s).
- 12) That in the event that purchase mandate was erroneously executed in excess of our account balance, OCML has a duty to notify us with a view to regularizing our account without any loss to us
- 13) That where our purchase mandate is dependent on proceeds of sale mandate(s), our purchase mandate will not be executed until the sale has been executed and proceeds settled into our account. Also, the sale mandate will be executed even if the stocks to be purchased are not available.
- 14) That all sales proceeds of stocks shall be retained in our investment account except if we advise otherwise in writing, provided that such express instruction(s) is/are not in favor of third parties.
- 15) That funds deposited in our investment account with OCML, including retained sales proceeds, are not interest bearing. The fund will remain in our investment account until we give an express instruction/mandate authorizing its utilization.

.....
SIGNATURES

.....
DATE

WITNESS INFORMATION

NAME

ADDRESS:

OCCUPATION:

DATE: